

# Broker Dealer Direct Owners/Executive Officers Schedule A to Form BD

Are there any indirect owners of the applicant required to be reported on Schedule B?

@ Yes ☐ No ☐

**Ownership Codes:** **NA** - less than 5% **B** - 10% but less than 25% **D**- 50% but less than 75%

**A** - 5% but less than 10% **C** - 25% but less than 50% **E** - 75% or more.

Full Legal Name	DE/FE/I	Title or Status	Date Acquired	Own. Code	Control Person	PR	CRD #(or S.S.No., IRS Tax#, Emp. ID)
CHIULLI, EUGENE JAMES	I	CHIEF FINANCIAL OFFICER	06/2022	NA	Y	N	2976702
INSTINET HOLDINGS INCORPORATED	DE	DIRECT OWNER	12/2005	E	Y	N	20-3879157
KENNIFF, ALICE M	I	ASSOCIATE GENERAL COUNSEL, CHIEF COMPLIANCE OFFICER (INVESTMENT ADVISER)	09/2016	NA	Y	N	5615714
MAURO, LUKE	I	HEAD OF OPERATIONS	02/2014	NA	Y	N	4188932
MILLIGAN, GERARD	I	PRESIDENT	09/2023	NA	Y	N	2907544
CONE, RANDI J	I	CHIEF COMPLIANCE OFFICER	09/2025	NA	Y	N	4811453
SCHAFFNER, CHRISTY E	I	PRINCIPAL FINANCIAL OFFICER	11/2024	NA	Y	N	2710171
SISKOVIC, JULIE MARIE	I	CHIEF COMPLIANCE OFFICER (BROKER-DEALER, CBOE/C2)	11/2019	NA	Y	N	1385048
WEBB, FARON ROSS	I	GENERAL COUNSEL	01/2014	NA	Y	N	2452057